

## Summary

The doctoral dissertation titled "Management of non-compliance risk in the companies listed on the Warsaw Stock Exchange" fills an existing research gap in the field of business and quality management sciences within the context of non-compliance risk management. Both in the epistemological and application layer, this research sphere has so far been flawed with regard to paradigmatic single-domain development as well as matrix development. The research subject covered de facto the financial market in Poland, focusing on the companies listed on the Warsaw Stock Exchange markets. The subject of the dissertation was classified as belonging to two sub-disciplines in the field of management and quality sciences: supporting management decisions and strategic management, which was confirmed in each chapter of this dissertation. Additionally, the dissertation takes into account the complementary context of economics and finance in the sub-disciplines of micro- and macroeconomics.

The main purpose of the dissertation is to investigate the management of non-compliance risk in selected companies listed on the markets operated by the Warsaw Stock Exchange. In order to achieve the goal, the main research problem was created in the form of the following question: *To what extent does the management of non-compliance risk constitute an immanent characteristic of management in the companies listed on the Warsaw Stock Exchange?* The main goal and research problem were broken down into five goals and research problems. Identification of the problem situation as well as the collected and examined scientific materials allowed for formulating the following main hypothesis: *The management of non-compliance risk is currently one of the fundamental elements of risk management and a key component of corporate management.*

The dissertation consists of an introduction, four main chapters, conclusions, bibliography, attachments and a list of figures and tables.

The first chapter presents definitions of the most important concepts and issues related to risk management in a company. A review of the source literature in the scope of the essence of risk management in the discipline of quality and management science was performed and the topics of risk management effectiveness, as well as selected risk management standards and models were also discussed.

The second chapter contains definitions of the most important concepts and issues related to the management of non-compliance risk in the operations of a company. The source literature dealing with the essence of compliance management systems in the discipline of



quality and management science was also reviewed and the importance of micro- and macrosystems of compliance management in the functioning of companies was explained.

The third chapter contains an overview of problems related to compliance management systems, with particular considerations for the financial market.

The fourth chapter presents results of the proprietary research.

The theoretical and empirical research conducted may contribute to practical solutions serving companies to improve not only hitherto existing risk management systems, but even entire systems of organisation management, initiating complementation of existing theoretical scientific paradigms in the field of management and quality.

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